



0590

03-15-02

0300

Box 50A

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Case No. 00-653-A)

In the Application of:

Chad A. Mirkin, et al.

Serial No.: 09/927,777

Issued: August 10, 2001

For: NANOPARTICLES HAVING  
OLIGONUCLEOTIDES ATTACHED  
THERE TO AND USES THEREFOR

)  
)  
) Examiner: J. Riley  
)  
) Group Art Unit: 1656  
)  
) Confirmation No. 6145  
)  
)  
)

TRANSMITTAL LETTER

Commissioner for Patents and Trademarks  
Washington, D.C. 20231

Att: Customer Service Center  
Initial Patent Examination Division

Sir:

In regard to the above identified application.

1. We are transmitting herewith the attached:
  - a) Response to Notice of Incomplete Reply dated March 4, 2002 with Exhibit Tabs A-E
  - b) Copy of Notice of Incomplete Reply
  - c) Exhibit A: Express Mail Receipt,
  - d) Exhibit B: Excerpt from Express Mail logbook,
  - e) Exhibit C: Copy of Postcard and stamped Postcard,
  - f) Exhibit D: Copy of Transmittal letter, Supplemental Response, Petition for Extension of Time,
  - g) Exhibit E: Supplemental Response and executed Inventor's Declaration,
  - h) Exhibit F: U.S Postal Track/Confirm Statement
  - i) Substitute Sequence Listing (paper copy and computer readable form on 3.5" diskette)
  - j) Return Postcard.
2. With respect to fees:
  - a) No additional fees are required.
  - b) General Authorization: Please charge any underpayment or credit any overpayment our Deposit Account, No. 13-2490.
3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee", addressed to the Commissioner for Patents and Trademarks, Washington, D.C. 20231 on this 13 day of March, 2002 under the Express Mail label No. EL904284205US.

Date: 3/13/02

Respectfully submitted,  
  
Emily Miao  
Registration No. 35,285



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/927,777	08/10/2001	Chad Mirkin	00-653-A

Emily Miao  
McDonnell Boehnen Hulbert & Berghoff  
32nd Floor  
300 S. Wacker Drive  
Chicago, IL 60606



CONFIRMATION NO. 6145

## FORMALITIES LETTER



\*OC000000007569744\*

Date Mailed: 03/04/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 01/15/2002 to the Notice to File Missing Parts (Notice) mailed 10/16/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.


- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

---

*A copy of this notice MUST be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE